

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Attorney Docket No. EMI-45 D1

| UTILITY   | Attorn                             | Attorney Docket No. EMI-45 D  |           |                               | )1          |                               |  |  |
|---|------------------------------------|---|-----------|-------------------------------|-------------|-------------------------------|--|--|
| PATENT APPLICATION  | First II                           | nventor   |           |                               |             |                               |  |  |
| TRANSMITTAL   | Title                              | Title SKIN-LIGHTENING   |           |                               |             |                               |  |  |
| (Only for new nonprovisional applications under 37 C.F.R. 1.53(b))  | Expre                              | ss Mail Labe  | l No.     |                               |             |                               |  |  |
| APPLICATION ELEMENTS  | Assistant Commissioner for Patents |   |           |                               |             |                               |  |  |
| See MPEP chapter 600 concerning utility patent application contents.  |                                    | ADDRESS TO: Box Patent Application Washington, DC 20231                                   |           |                               |             |                               |  |  |
| Fee Transmittal Form (e.g., PTO/SB/17)  (Submit an original and a duplicate for fee processing)                                     | -                                  | 7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)                |           |                               |             |                               |  |  |
| 2. Applicant claims small entity status.  |                                    | 3. Nucleotic  | de and/   | or Amino                      | Acid Se     | quence Submission             |  |  |
| See 37 CFR 1.27.  3. Specification [Total Pages 25]   |                                    | a. ☐ Co   |           | <i>ll necessa</i><br>Readable | • -         | CRF)                          |  |  |
| (preferred arrangement set forth below) - Descriptive title of the Invention  |                                    | b. Specification Sequence Listing on:   |           |                               |             |                               |  |  |
| <ul> <li>Cross References to Related Applications</li> <li>Statement Regarding Fed sponsored R &amp; D</li> </ul>                   |                                    | i. ☐ CD-ROM or CD-R (2 copies); or<br>ii. ☐ paper   |           |                               |             |                               |  |  |
| <ul> <li>Reference to sequence listing, a table,<br/>or a computer program listing appendix</li> </ul>                              | Г                                  |   |           |                               | -           | of above copies CATIONS PARTS |  |  |
| Background of the Invention     Brief Summary of the Invention  | <del>   </del>                     |   |           |                               |             |                               |  |  |
| Brief Description of the Drawings ( if filed)     Detailed Description  |                                    | 9. Assignment Papers (cover sheet & document(s)) 10. 37 C.F.R.§3.73(b) Statement Power of |           |                               |             |                               |  |  |
| - Claim(s) - Abstract of the Disclosure   | 1                                  |   |           | ere is an a                   | _           | •                             |  |  |
| _   |                                    | _   | •         |                               |             | ent (if applicable)           |  |  |
| 4. Drawing(s) (35 U.S.C.113) [Total Sheets] 5. Oath or Declaration [Total Pages]  |                                    | 12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations               |           |                               |             |                               |  |  |
| a. Newly executed (original or copy)  |                                    | _   |           | ary Amen                      |             | MDED 503)                     |  |  |
| <ul> <li>b.  Copy from a prior application (37 CFR 1.63 (d))<br/>(for a continuation/divisional with Box 18 completed,</li> </ul>   | , I                                | 14. Return Receipt Postcard (MPEP 503)  (Should be specifically itemized)                 |           |                               |             |                               |  |  |
| i. DELETION OF INVENTOR(S)  | ′   ¹                              | 15. Certified Copy of Priority Document(s) (if foreign priority is claimed)               |           |                               |             |                               |  |  |
| Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR   | 1                                  | 16. Request and Certification under 35 U.S.C. 122   |           |                               |             |                               |  |  |
| 1.63(d)(2) and 1.33(b).   | -                                  | (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.                     |           |                               |             |                               |  |  |
| 6. Application Data Sheet. See 37 CFR 1.76  | 1                                  | 17. Other:  |           |                               |             |                               |  |  |
| 18. If a CONTINUING APPLICATION, check appropriate box, and sup   | ply the                            | requisite in  | formatio  | on below a                    | and in a p  | reliminary amendment,         |  |  |
| or in an Application Data Sheet under 37 CFR 1.76:  ☐ Continuation ☐ Divisional ☐ Continuation-in-p                                 | art (CIP                           | )   | of p      | prior applica                 | ation No:   | <u>10</u> / <u>120,156</u>    |  |  |
| Prior application information: Examiner Michele C. Flood  |                                    |   |           |                               | up / Art Un |                               |  |  |
| For CONTINUATION or DIVISIONAL APPS only: The entire disclosure under Box 5b, is considered a part of the disclosure of the accompa | nying o                            | r divisional  | applica   | tion and is                   | s hereby i  | ncorporated by reference.     |  |  |
| The incorporation can only be relied upon when a portion has been<br>17. CORRESP  |                                    |   |           | i the subm                    | ппес арр    | ication parts.                |  |  |
| 111111111111111111111111111111111111111   |                                    |   |           | or                            | □ Con       | respondence address below     |  |  |
|   |                                    |   |           | 5/ 1                          | _ 00,,      | ospondente address solon      |  |  |
|   | 359                                |   |           |                               |             |                               |  |  |
| PATENT TRA  |                                    | =   |           |                               |             |                               |  |  |
|   |                                    |   | ********* |                               |             |                               |  |  |
| Name  |                                    | ***************************************   |           |                               |             |                               |  |  |
| rvarro  |                                    |   |           |                               |             |                               |  |  |
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| 01.   |                                    | <u>.</u>  |           | 1                             |             |                               |  |  |
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| Country Telephone   | <u></u>                            |   |           |                               | ax          |                               |  |  |
| Name (Print/Type) I. William Millen   | Reg                                | Registration No. (Attorney/Agent) 19,544  |           |                               |             | 19,544                        |  |  |
| Signature O. Willeam  | ul                                 | Ken   |           | D                             | Date        | June 25, 2003                 |  |  |

PTO/SB/17 (11-00)

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| CCC TO A MONITTAL                           |                      | Complete if Known         |  |  |  |
|---|----------------------|---------------------------|--|--|--|
| FEE TRANSMITTAL                             | Application Number   | Unassigned                |  |  |  |
| for FY 2001                                 | Filing Date          | June 25, 2003             |  |  |  |
|   | First Named Inventor | Ratan K. Chaudhuri et al. |  |  |  |
| Patent fees are subject to annual revision. | Examiner Name        | Michele C. Flood          |  |  |  |
|   | Group / Art Unit     | 1654                      |  |  |  |
| TOTAL AMOUNT OF PAYMENT (\$) 804            | Attorney Docket No.  | EMI-45 D1                 |  |  |  |

| METHOD OF PAYMENT (check one)                                    |  |             |             |                            |                                    | FEE CALCULATION (continued) |   |               |   |   |  |      |
|--|--|-------------|-------------|----------------------------|------------------------------------|-----------------------------|---|---------------|---|---|--|------|
| The Commissioner is hereby authorized to charge                  |  |             |             |                            |                                    | 3. ADDITIONAL FEES          |   |               |   |   |  |      |
| 1.   | 1. indicated fees and credit any over payments to: |             |             |                            |                                    | Large                       |   | Small         |   |   |  |      |
|  |  |             |             |                            |                                    |                             | Fee   | Entity<br>Fee | Fee                                       | Entity<br>Fee   |  | Fee  |
| Depo   |  | 13-340      | 2           |                            |                                    |                             | Code  | (\$)          | Code                                      | (\$)  | Fee Description  | Paid |
| Num  |  | 10-040      | -           |                            |                                    |                             | 1051  | 130           | 2051                                      | 65  | Surcharge - late filing fee or oath  |      |
| Den  | neit   | <u> </u>    |             |                            |                                    |                             |   |               | 2052                                      | 25  | Surcharge - late provisional filing fee<br>or cover sheet.                       |      |
| Deposit Account Millen, White, Zelano & Branigan, P.C.           |  |             |             |                            |                                    | 1053                        | 130   | 1053          | 130                                       | Non-English specification                                 |  |      |
| <u>Na</u> m  | -  | L           | _           |                            |                                    |                             | 1812  | 2,520         | 1812                                      | 2,520   | For filing a request for reexamination   |      |
| ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  |  |             |             |                            |                                    | 1804                        | 920*  | 1804          | 920*                                      | Requesting publication of SIR prior to<br>Examiner action |  |      |
| Applicant claims small entity status. See 37 CFR 1.27            |  |             |             |                            |                                    | 1805                        | 1,840*  | 1805          | 1,840*                                    | Requesting publication of SIR after<br>Examiner action    |  |      |
| 2. 🛛   | Payme  | nt Enclose  | d:          |                            |                                    |                             | 1251  | 110           | 2251                                      | 55  | Extension for reply within first month   |      |
| ☑ Check ☐ Credit card ☐ Money ☐ Other                            |  |             |             |                            |                                    | 1252                        | 410   | 2252          | 205                                       | Extension for reply within second month                   |  |      |
|  |  |             | 0           | Orde                       |                                    |                             | 1253  | 930           | 2253                                      | 465   | Extension for reply within third month   |      |
| 1. E   | BASIC FIL  | ING FEE     | FEE C       | ALCULATION                 |                                    |                             | 1254  | 1,450         | 2254                                      | 725   | Extension for reply within fourth  |      |
| Large  | Entity   |             | ntity       |                            |                                    |                             | 1255  | 1.970         | 2255                                      | 985   | Extension for reply within fifth month   |      |
| Fee  | •  |             | ee          | Fee Descripti              | on                                 |                             | 1401  | 320           | 2401                                      | 160   | Notice of Appeal   |      |
| Code   | (\$)   | Code (      | \$)         | -                          | Fee P                              | aid                         | 1402  | 320           | 2402                                      | 160   | Filing a brief in support of an appeal   |      |
| 1001   | 750  | 2001 3      | 75          | Utility filing fee         | 750                                |                             | 1403  | 280           | 2403                                      | 140   | Request for oral hearing   | -    |
| 1002<br>1003   |  |             | 65<br>60    | Design filing fee          | e                                  |                             | 1451  | 1,510         | 1451                                      | 1,510   | Petition to institute a public use proceeding                                    | ·    |
| 1004   |  |             | 75          | Reissue filing             | ee -                               |                             | 1452  | 110           | 2452                                      | 55  | Petition to revive – unavoidable   |      |
| 1005   | 160  | 2005 8      | 10          | Provisional filli          |                                    |                             | 1453  | 1,300         | 2453                                      | 650   | Petition to revive - unintentional   |      |
|  |  |             |             |                            | 142                                | 1,300                       | 242   | 650           | Utility issue fee (or reissue)            | <b></b>   |  |      |
| SUBTOTAL (1) (\$) 750  |  |             |             |                            |                                    | 1502                        | 470   | 2502          | 235                                       | Design issue fee  |  |      |
| 2 EVII   | RA CLAIN   | A FEEC      |             |                            |                                    |                             | 1503  | 630           | 2503                                      | 315   | Plant issue fee  |      |
| Z. EAIF  | CA CLAIR   | # FEES      |             | Extra                      | Fee from Fe                        |                             | 1460  | 130           | 1460                                      | 130   | Petitions to the Commissioner  |      |
|  |  |             |             | Claims                     |                                    | aid                         |   |               |   |   | Petitions related to provisional   |      |
| Total Clain  |  | -20*        | * =         | 3 X                        | 18 = 54                            |                             | 1807  | 130           | 1807                                      | 130   | applications Submission of Information Disclosure                                |      |
| Claims   | " [1   | 3**         | =           | 0 X                        | = 0                                |                             | 1806  | 180           | 1806                                      | 180   | Stmt   |      |
| Multiple<br>Dependent  |  |             |             | x                          | = 0                                |                             | 8021  | 40            | 8021                                      | 40  | Recording each patent assignment<br>per property (times number of<br>properties) |      |
| Large Entity Small Entity  |  |             |             |                            | 1809                               | 750                         | 2809  | 375           | Filing a submission after final rejection |   |  |      |
| Fee<br>Code  | Fee<br>(\$)  | Fee<br>Code | Fee<br>(\$) | Fee Descri                 | Fee Description                    |                             |   | 750           | 2810                                      | 375   | (37 CFR § 1.129(a)) For each additional invention to be                          |      |
| 1202   | 18   | 2202        | 9           | Claims in e                |                                    |                             | 1810  |               | · <del>-</del>                            | - · · <del>-</del>  | examined (37 CFR § 1.129(b))   |      |
| 1201   | 84   | 2201        | 42          |                            | it claims in excess o              |                             | '   |               | Request for Continued Examination (RCE)   |   |  |      |
| 1203   | 280  | 2203        | 140         |                            | endent claim, if not               |                             |   |               |   |   | •  |      |
| 1204   | 84   | 2204        | 42          | original pate              |                                    |                             | 1802 900 1802 900 Request for expedited examination of a design application |               |   |   |  |      |
| 1205   | 18   | 2205        | 9           | ** Reissue<br>over origina | claims in excess of 2<br>Il patent | 20 and                      |   |               |   |   |  |      |
| SUBTOTAL (2) (\$) 54   |  |             |             |                            | Other fee (specify)                |                             |   |               |   |   |  |      |
| ł  |  |             | -           |                            | ,,,                                |                             |   |               |   |   |  |      |
|  |  |             |             |                            | *Redu                              | ced by Ba                   | isic Filin  | g Fee Pa      | aid SUBTOTAL (3) (\$) 0                   |   |  |      |
| **or number previously paid, if greater, For Reissues, see above |  |             |             |                            | ]                                  |                             |   |               | <b>L</b>                                  |   |  |      |

| SUBMITTED BY Complete (if applicable) |                   |                                  |        |           |                |  |  |  |
|---------------------------------------|-------------------|----------------------------------|--------|-----------|----------------|--|--|--|
| Name (Print/Type)                     | I. William Millen | Registration No. Attorney/Agent) | 19,544 | Telephone | (703) 243-6333 |  |  |  |
| Signature                             | J. Mille          | - Jullan                         |        | Date      | June 25, 2003  |  |  |  |

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